

YOUR ADVISOR

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## Risk Questionnaire Report for Jane Doe

June 28, 2017

### RISK TOLERANCE

You completed a risk questionnaire using advanced quantitative risk technology on June 28, 2017. The results were as follows.

On a scale of 1 to 99, with higher numbers indicating higher risk tolerance, your answers to the risk questionnaire resulted in a score of 82.



This means that over the next six months, you are comfortable risking a loss of -19% or -\$95,008, in exchange for the chance of making a gain of +28% or \$137,708.

This range describes the “comfort zone” for your investments. Over the next six months, it represents a hypothetical target that you would prefer to keep your investments within. There is no guarantee any investments would perform within the range.

While FPL Capital Management, LLC will target the customized investment strategy and specific portfolio allocation illustrated below, the advisor retains the flexibility to modify security selection and weighting within the respective sub asset classes and/or move to different managers and/or different securities to take advantage of and respond to risks associated with market and economic conditions as well as relative performance data.

## ANSWERS TO RISK QUESTIONS

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When completing your risk questionnaire on June 28, 2017, you provided the following information about your risk tolerance:

*Investment Amount = \$500,000*

*Acceptable Six Month Risk = \$94,609*

### *SIMPLE RISK TOLERANCE ANSWERS*

*Chose Six Month Risk of -18% to +18*

*Chose More Reward (-18% to +26%) over Less Risk (-11% to +18%)*

*Chose More Reward (-18% to +26%) over Even More (-23% to +33%)*

*Chose Even More (-19% to +28%) over More Reward (-18% to +26%)*

## INVESTMENT OBJECTIVES

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When completing your risk questionnaire on June 28, 2017, you selected the following objectives for your investments:

- Retirement*
- Paying down debt*
- Wealth accumulation*

It is critically important that you keep your advisor updated when your investment objectives change.

## AGE AND RETIREMENT HORIZON

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When completing your risk questionnaire on June 28, 2017, you provided the following data about your age and retirement horizon:

*You were born in 1967. You intend to retire at 65.*

It is critically important that you keep your advisor updated if your retirement objectives begin to change for any reason.

Securities offered through FPL Capital Management, LLC a Registered Broker/Dealer, Member FINRA/SIPC ([www.finra.org](http://www.finra.org) and [www.sipc.org](http://www.sipc.org)). This should not be relied on as a substitute for official account statements. Investments on this page are subject to market risk, including the possible loss of principal. It should be assumed that investments listed on this page are not FDIC insured. The value of the portfolio will fluctuate with the value of the underlying securities. Investors should consider an investment's investment objective, risks, charges, and expenses carefully before investing. In the case of mutual funds and ETFs (Exchange Traded Funds) a prospectus is available which contains this and other important information and should be read carefully before investing. Diversification does not ensure a profit and may not protect against loss in declining markets.